Revalidation

Implementation for doctors in training

Dr Lorna Burrows, National Revalidation Fellow, NHS South of England
Principles: Safety, Trust and Assurance

Should focus on:

– Patient safety (ensuring doctors are maintaining and raising professional standards)
– Effectiveness of care
– Patient experience

And provides assurance to patients, employers and other healthcare professionals.
Principles

• Revalidation is a cyclical and continuing process, it is not a point in time assessment

• Doctors have to demonstrate to the GMC, every five years, that they are up to date, fit to practise and are complying with the relevant professional standards
Principles

• Confirms that a doctor is working within a governed system.

• All doctors are required to have a prescribed connection to a designated body, and one Responsible Officer.

• All doctors are required to engage with systems of periodic review.

• It should be implemented in a fair and proportionate way based on consistent principles.

Dr Lorna Burrows, National Revalidation Fellow, NHS South of England
Who needs to revalidate?

All doctors with full registration and a licence to practise will need to revalidate.

All F1 doctors will be given a license to practice on receipt of full registration.
When does it start?

3rd December 2012
A recommendation about the revalidation date of all licensed doctors is expected from their Responsible Officer following the start of the legislation in December 2012.

Dr Lorna Burrows, National Revalidation Fellow, NHS South of England
When do I revalidate?

• The revalidation date for trainees
  – should be set at 5 years from the date at which the legislation commences in December 2012
  – Or, at the point at which they are expected to become eligible to apply for a CCT, whichever comes sooner.

• Only doctors at F2 level and above will be given a date.
• All other doctors will receive a date once they have obtained full registration and license to practice
Trainee Connections

• The Responsible Officer (RO) for trainee doctors is the Postgraduate Dean for the deanery in which they are training, and this [Deanery] will normally have issued their NTN.

• The Designated Body for trainee doctors is the Postgraduate Deanery

• Your RO has initially been allocated depending on your response to the GMC 2012 trainee survey

• It is your responsibility to ensure your RO is correct.

Dr Lorna Burrows, National Revalidation Fellow, NHS South of England
What happens if I have change deaneries or left training?

• Change deaneries:
  – Your RO transfers to your new deanery and the information regarding revalidation will be transferred to your new deanery.

• Leave training:
  – You must nominate a new RO. This would normally be the RO of your new designated body e.g. Medical Director of your new employer.
  – Information regarding revalidation would be passed on from the deanery to your new designated body.
Annual Review of Competence Progression

• Annual reviews for revalidation purposes will be done by an enhanced ARCP process in England

• 3 forms will be required:
  – Enhanced Form R
  – Educational Supervisors Report
  – Exit report from your employer
Annual Review of Competence Progression

5 Yearly Revalidation
Enhanced Form R

Trainee information

• Same as previous Form R information
e.g. personal details, NTN, GMC number

• NEW
  – Scope of Practice
  – Complaints and Compliments
  – Significant Events
  – Probity and Health Statements
Educational Supervisor’s Report

• Same as previously, except addition of:
  – Conduct and Capability
  – Complaints
  – Significant Events
Exit Report

• EMPLOYER’S REPORT

• Information on:
  – Conduct and Capability
  – Complaints
  – Significant Events
<table>
<thead>
<tr>
<th>Form R</th>
<th>Educational Supervisor Report</th>
<th>Exit Report</th>
</tr>
</thead>
<tbody>
<tr>
<td>Trainee Information</td>
<td>Conduct/Capability</td>
<td>Scope of Practice</td>
</tr>
<tr>
<td>Scope of practice</td>
<td>Significant Events</td>
<td>Conduct/Capability</td>
</tr>
<tr>
<td>Significant Events</td>
<td>Complaints</td>
<td>Significant Events</td>
</tr>
<tr>
<td>Complaints</td>
<td></td>
<td>Complaints</td>
</tr>
<tr>
<td>Compliments</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Health + Probity</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Scope of Practice

You are required to make the RO aware of all relevant periods of employment or placement (including advisory/voluntary roles and roles within non-NHS organisations), both clinical and non-clinical through a scope of practice declaration.

e.g. all training placements, locum shifts etc.

You will be required to provide correspondence addresses for non-training placements to your deanery.

Dr Lorna Burrows, National Revalidation Fellow, NHS South of England
Conduct and Capability

• Your educational supervisor is supposed to report any issues relating to your conduct and capability at work

• The educational supervisor should have discussed them with you

• Your employer should have made you aware of any issues via your educational supervisor
Complaints

• You are expected to write a reflective piece on all complaints you have been involved in throughout your scope of practice for your portfolio

• You are also expected to discuss these with your educational supervisor

• It is your employer’s responsibility to ensure you are informed of all complaints to which you are associated within their organisation
Significant Events

• **Critical Incidents:** unintended/unexpected incidents which could/did lead to harm to one or more patients

• **Serious Untoward Incident** – incident could/has a significant or catastrophic impact on patient and adversely effects organization + its staff
Significant Events

• You are expected to write a reflective piece for your portfolio for each significant event you are involved in either directly or indirectly

• You should discuss these with your educational supervisor

• It is your employers responsibility to inform you of any significant events to which you have been associated, within their organisation
What does my educational supervisor report?

• Your educational supervisor will be asked to confirm whether you have been involved in any conduct, capability or significant event investigation or named in any complaint? YES/NO

• If so, they are asked to clarify whether these have been resolved satisfactorily with no unresolved concerns about a trainee’s fitness to practice or conduct? YES/NO

• They are asked to comment/expand if appropriate
What is an exit report?

• An exit report will be requested from every organisation declared on your scope of practice

• It is the deanery’s responsibility to request them from your employer twice per year – May and November
What is the format of the exit report?

- The employer is sent a list of all trainees that have worked for them in the previous 6 months (since the last exit report)

- This is called the COLLECTIVE exit report

- They are asked if you have been involved in conduct, capability or Significant Event Investigation or named in complaints whilst working for that employer. YES/NO

- The employer is asked to fill out an individual exception exit report for the trainee, if the answer is ‘YES’ on the collective report.

Dr Lorna Burrows, National Revalidation Fellow, NHS South of England
Exception Exit Report

The employer is asked about conduct and capability,
Significant Events and Complaints:

• Were you involved? YES / NO

• Were they resolved satisfactorily with no unresolved concerns about this trainee’s conduct. YES / NO

• If not, they are asked to give a brief summary and the anticipated date of the outcome of the investigation:

Dr Lorna Burrows, National Revalidation Fellow, NHS South of England
Exception exit report

• The employer should give the trainee a copy of any report that is submitted

• The exception exit report is stored by the deanery for the information of the ARCP panel and RO (postgraduate dean).
ARCP

• The ARCP panel is responsible for allocating an outcome with regards to your training, following a review of your progression.

• The ARCP panel is also now responsible for stating whether there are any issues relating to revalidation.

• This information is provided to your RO (Postgraduate Dean)
Recommendation for renewal of license

• Your RO, the Postgraduate Dean, is required to submit a recommendation every 5 years, or at CCT

• The recommendation is based on the information available via the ARCP process

• He is accountable for ensuring that this information is correct
Can I defer?

• Yes
  – Maternity leave
  – Long term sick leave
  – Time out of programme when NOT working
How do you lose your license?

• The GMC should be made aware of any fitness to practice issues as they arise

• The GMC decides whether to remove your license to practice

Dr Lorna Burrows, National Revalidation Fellow, NHS South of England
What do I need to do now?

• For each significant event you are involved in:
  – Consider what you have learnt from the event
  – How would you manage things differently?
  – Write a reflective piece about it for your portfolio
  – *This is supposed to be part of a learning process, not a confession of all wrong doing*

• Ensure that the RO you will be assigned in December is correct.
  – If you have changed deaneries since completing your 2012 GMC survey, you must ensure that the GMC is updated.

Dr Lorna Burrows, National Revalidation Fellow, NHS South of England
Outcome

The GMC has committed to supporting a simple and streamlined approach to the implementation of revalidation that minimises the burden on doctors, designated bodies and responsible officers.

• *It should not alter what a trainee does, only the information they collect about themselves.*

Dr Lorna Burrows, National Revalidation Fellow, NHS South of England